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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office
Fee Income Report
FY 1999

Fee Code	Fee Title	FY 1999 Fee Rates	FY 1999 Planned Fee Income	FY 1999 Actual Fee Income	FY 1999 Variance From Plan
Statutory Patent Fees(Large Entity):					
101	Filing Original Patent Application	\$760.00	117,088,640	110,282,286	(\$6,806,354)
102	Independent Claims in Excess of 3	\$78.00	14,774,136	15,507,803	733,667
103	Total Claims in Excess of 20	\$18.00	16,985,106	19,093,289	2,108,183
104	Multiple Dependent Claims per Appln	\$260.00	2,162,680	1,931,630	(231,050)
106	Filing Design Patent Application	\$310.00	2,359,100	2,450,105	91,005
107	Filing Plant Patent Application	\$480.00	151,200	162,710	11,510
108	Filing Reissue Patent Application	\$760.00	269,040	326,755	57,715
109	Indep Claims in Excess of Orig Patent	\$78.00	74,178	96,030	21,852
110	Each Claim in Excess of 20 & Orig Pat	\$18.00	67,932	106,582	38,650
114	Provisional Application Filing Fees	\$150.00	4,107,600	4,392,108	284,508
115	First One Month Extension	\$110.00	4,336,860	4,825,347	488,487
116	Second One Month Extension	\$380.00	8,767,360	8,758,273	(9,087)
117	Third One Month Extension	\$870.00	25,201,027	23,939,010	(1,262,017)
118	Fourth One Month Extension	\$1,360.00	3,008,320	2,899,499	(108,821)
119	Notice of Appeal to Board of Appeals	\$300.00	3,675,300	3,314,670	(360,630)
120	Filing a Brief in Support of an Appeal	\$300.00	1,186,500	1,157,325	(29,175)
121	Filing a Request for an Oral Hearing	\$260.00	249,340	186,375	(62,965)
124	Initial Application for Interim Extension	\$420.00	2,100	1,680	(420)
125	Subsequent Application for Interim Extension	\$220.00	440	0	(440)
128	Extension for Response within Fifth Month	\$1,850.00	839,900	2,001,416	1,161,516
131	Continuing Patent Application - Utility Filing	\$760.00	9,618,560	15,402,681	5,784,121
132	Continuing Patent Application - Design Filing	\$310.00	173,290	50,795	(122,495)
133	Continuing Patent Application - Plant Filing	\$480.00	11,040	3,900	(7,140)
134	Continuing Patent Application - Reissue Filing	\$760.00	19,000	1,580	(17,420)
140	Reviving Abandoned Application	\$110.00	21,780	18,150	(3,630)
141	Reviving Unintent Abandoned Application	\$1,210.00	1,524,600	2,710,640	1,186,040
142	Issue of Original or Reissue Patent	\$1,210.00	128,045,830	133,381,714	5,335,884
143	Issue of Design Patent	\$430.00	2,525,390	3,157,060	631,670
144	Issue of Plant Patent	\$580.00	112,520	119,100	6,580
148	Statutory Disclaimers	\$110.00	878,350	1,053,580	175,230
183	First Stage Maintenance Fee	\$940.00	60,343,300	62,751,965	2,408,665
184	Second Stage Maintenance Fee	\$1,900.00	87,208,100	87,342,155	134,055
185	Third Stage Maintenance Fee	\$2,910.00	69,953,490	75,515,650	5,562,160

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956	Nat'l Fee if USPTO is IPEA and ISA	\$670.00	485,080	426,660	(58,420)
958	Nat'l Fee if USPTO is ISA but not IPEA	\$760.00	260,680	144,120	(116,560)
960	Nat'l Fee if USPTO is Not IPEA or ISA	\$970.00	2,789,720	2,128,140	(661,580)
962	Claims not in Accordance with PCT Art.	\$96.00	21,408	27,948	6,540
964	Indep. Claims in Excess of 3	\$78.00	735,540	796,153	60,613
966	Each Claim in Excess of 20	\$18.00	1,074,780	1,222,826	148,046
968	Multiple Dependent Claims	\$260.00	633,620	642,698	9,078
970	Nat'l Fee for Filing with EPO Report	\$930.00	10,380,660	11,084,080	703,420
Subtotal, Statutory Patent Fees (Large Entity)		...	\$582,123,497	\$599,414,488	\$17,290,991
Statutory Patent Fees(Small Entity):					
201	Filing Original Patent Application	\$380.00	23,835,500	24,262,133	\$426,633
202	Independent Claims in Excess of 3	\$39.00	2,313,285	2,714,526	401,241
203	Total Claims in Excess of 20	\$9.00	3,336,750	3,989,041	652,291
204	Multiple Dependent Claims per Appln	\$130.00	282,230	312,659	30,429
206	Filing Design Patent Application	\$155.00	1,379,190	1,453,149	73,959
207	Filing Plant Patent Application	\$240.00	80,400	96,360	15,960
208	Filing Reissue Patent Application	\$380.00	49,780	63,464	13,684
209	Indep Claims in Excess of Orig Patent	\$39.00	9,867	15,764	5,897
210	Each Claim in Excess of 20 & Orig Pat	\$9.00	6,264	13,751	7,487
214	Provisional Application Filing Fees	\$75.00	1,890,225	1,797,495	(92,730)
215	First One Month Extension	\$55.00	848,980	938,960	89,980
216	Second One Month Extension	\$190.00	1,697,650	1,778,097	80,447
217	Third One Month Extension	\$435.00	5,656,740	5,540,980	(115,760)
218	Fourth One Month Extension	\$680.00	890,120	836,966	(53,154)
219	Notice of Appeal to Board of Appeals	\$150.00	592,800	532,785	(60,015)
220	Filing a Brief in Support of an Appeal	\$150.00	142,650	169,560	26,910
221	Filing a Request for an Oral Hearing	\$130.00	29,510	24,545	(4,965)
228	Extension for Response within Fifth Month	\$925.00	251,600	534,461	282,861
231	Continuing Patent Application - Utility Filing	\$380.00	1,942,560	1,983,322	40,762
232	Continuing Patent Application - Design Filing	\$155.00	103,230	9,215	(94,015)
233	Continuing Patent Application - Plant Filing	\$240.00	5,760	0	(5,760)
234	Continuing Patent Application - Reissue Filing	\$380.00	3,420	395	(3,025)

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240	Reviving Abandoned Application	\$55.00	16,225	14,520	(1,705)
241	Reviving Unintent Abandoned Application	\$605.00	526,955	875,860	348,905
242	Issue of Original or Reissue Patent	\$605.00	25,325,905	25,873,665	547,760
243	Issue of Design Patent	\$215.00	1,619,810	1,803,835	184,025
244	Issue of Plant Patent	\$290.00	122,670	84,965	(37,705)
248	Statutory Disclaimers	\$55.00	198,715	224,675	25,960
283	First Stage Maintenance Fee	\$470.00	10,465,020	9,981,585	(483,435)
284	Second Stage Maintenance Fee	\$950.00	11,486,450	11,465,650	(20,800)
285	Third Stage Maintenance Fee	\$1,455.00	8,223,660	7,734,390	(489,270)
957	Nat'l Fee if USPTO is IPEA and ISA	\$335.00	63,315	86,340	23,025
959	Nat'l Fee if USPTO is ISA but not IPEA	\$380.00	38,760	37,400	(1,360)
961	Nat'l Fee if USPTO is Not IPEA or ISA	\$485.00	476,755	500,596	23,841
963	Claims not in Accordance with PCT Art.	\$48.00	5,184	7,895	2,711
965	Indep. Claims in Excess of 3	\$39.00	67,743	90,946	23,203
967	Each Claim in Excess of 20	\$9.00	120,744	154,061	33,317
969	Multiple Dependent Claims	\$130.00	57,070	66,240	9,170
971	Nat'l Fee for Filing with EPO Report	\$465.00	813,285	1,047,570	234,285
Subtotal, Statutory Patent Fees (Small Entity)		...	\$104,976,777	\$107,117,820	\$2,141,043
Total Statutory Patent Fees			\$687,100,274	\$706,532,308	\$19,432,034

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Non-Statutory Patent Processing Fees:					
105	Surcharge on Late Payment of Appln Fee	\$130.00	7,618,780	6,690,460	(\$928,320)
111	Application for Extension of Patent Term	\$1,120.00	68,320	30,210	(38,110)
112	SIR - Prior to Examiner Action	\$920.00	12,880	13,690	810
113	SIR - After Examiner Action	\$1,840.00	51,520	50,087	(1,433)
122	Petitions to the Commissioner	\$130.00	2,416,310	2,119,910	(296,400)
123	Petitions Related to Provisional Applns	\$50.00	31,550	34,050	2,500
126	Submission of Information Document	\$240.00	3,856,560	4,211,840	355,280
127	Surcharge for Filing Provisional Fee Late	\$50.00	41,550	96,150	54,600
138	Public Use Proceeding	\$1,510.00	6,040	1,510	(4,530)
139	Filing & Processing Non-English Appln	\$130.00	179,920	195,520	15,600
145	Cert of Correction, Applicant Mistake	\$100.00	629,700	710,500	80,800
146	Filing a Submission after Final Rejection	\$760.00	881,600	512,780	(368,820)
147	Filing Request for Reexamination	\$2,520.00	879,480	859,450	(20,030)
149	For Each Additional Invention to be Examined	\$760.00	12,920	8,420	(4,500)
150	PCT Transmittal Fee	\$240.00	7,466,880	7,106,410	(360,470)
151	PCT Search Fee - NO Corr US Appln	\$700.00	3,124,800	3,196,220	71,420
152	Supplemental Search	\$210.00	441,840	283,920	(157,920)
153	PCT Search Fee - Corr US Appln Filed	\$450.00	5,176,350	4,596,063	(580,287)
154	Surcharge for Filing National Fee Late	\$130.00	754,000	909,990	155,990
155	PCT - Late Payment Fee	\$0.00	140,112	147,887	7,775
156	English Translation After 20 Months	\$130.00	64,350	68,250	3,900
157	PCT - Designation Confirmation Fee	\$0.00	64,852	41,823	(23,030)
159	Overpayments - PCT	\$0.00	7,000	(2,696)	(9,696)
186	Maint Surcharge IN Grace Period	\$130.00	520,390	619,125	98,735
187	Maint Surcharge - Unavoidable	\$700.00	55,300	43,250	(12,050)
188	Maint Surcharge - Unintentional	\$1,640.00	1,517,000	1,721,095	204,095
190	PCT - Pre Exam (USPTO is ISA)	\$490.00	6,759,060	5,997,110	(761,950)
191	PCT - Pre Exam (USPTO NOT ISA)	\$750.00	1,811,250	2,099,960	288,710
192	PCT - Pre-Exam Fee per Add'l Invention	\$140.00	71,120	105,980	34,860
193	PCT - Pre-Exam Fee per Add'l Invention	\$270.00	22,140	14,300	(7,840)

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205	Surcharge on Late Payment of Appln Fee	\$65.00	1,338,935	1,190,155	(148,780)
227	Surcharge for Filing Provisional Fee Late	\$25.00	58,325	66,200	7,875
246	Filing a Submission after Final Rejection	\$380.00	155,420	121,815	(33,605)
249	For Each Additional Invention to be Examined	\$380.00	1,140	1,475	335
254	Surcharge for Filing National Fee Late	\$65.00	88,725	130,845	42,120
286	Maint Surcharge IN Grace Period	\$65.00	366,925	454,285	87,360
Subtotal, Non-Statutory Patent Processing Fees		...	\$46,693,044	\$44,448,039	(\$2,245,005)
Non-Statutory Patent Service Fees:					
561	B/W Copy of Patent or Design	\$3.00	4,548,705	3,443,317	(\$1,105,388)
562	Patent Copy - Expedited Service	\$6.00	230,580	95,922	(134,658)
563	Patent Copy - Expedited Service via EOS	\$25.00	57,300	32,550	(24,750)
564	Color Copy of Plant Patent	\$15.00	26,550	17,976	(8,574)
565	Copy of Utility Patent With Color Drawings	\$25.00	1,225	25	(1,200)
566	Copy of Patent Appln as Filed	\$15.00	1,589,505	1,601,055	11,550
567	Cert Copy of Patent Appln - Expedited	\$30.00	793,950	1,071,180	277,230
568	Copy of Patent File & Contents	\$150.00	514,050	363,750	(150,300)
569	Copy of Office Records per Document	\$25.00	399,275	255,025	(144,250)
570	Patent Abstract of Title & Certificate	\$25.00	828,775	368,050	(460,725)
571	Annual Library Subscription	\$50.00	4,500	4,150	(350)
572	List of US Patents in Subclass	\$3.00	0	0	0
573	Status of Maintenance Fee Payment	\$10.00	6,710	5,040	(1,670)
574	Copy of Non-U.S. Document	\$25.00	125,050	25,225	(99,825)
575	Compare Copies with Original & Certify	\$25.00	71,150	46,200	(24,950)
576	Duplicate of Correct Filing Receipt	\$25.00	64,775	56,425	(8,350)
577	Filing a Disclosure Document	\$10.00	188,030	178,050	(9,980)
578	Annual Delivery Box Rental	\$50.00	16,800	8,750	(8,050)
579	International-Type Search Report	\$40.00	0	1,240	1,240
580	Self Service Copy Charge, per Page	\$0.25	4,741,970	4,716,441	(25,529)
581	Recording Each Patent Assignment	\$40.00	12,893,080	11,860,650	(1,032,430)
582	Recording Each Additional Patent Property	\$0.00	0	165	165
583	Publication in Official Gazette	\$25.00	1,575	1,950	375
584	Labor Charge for Services per Hour	\$40.00	129,920	128,870	(1,050)
585	Unspecified Other Services	\$0.00	85,538	70,646	(14,892)

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586	Process and Retain Abandoned Application	\$130.00	24,570	47,450	22,880
587	Handling Fee for Appln Filed W/O Drawing	\$130.00	17,290	24,570	7,280
588	Terminal Charge - Automated Patent Search	\$40.00	243,680	190,345	(53,335)
589	Handling Fee for Withdrawal of Stat Inv	\$130.00	0	0	0
590	Coupons - Patent or Design, Each	\$3.00	380,784	224,922	(155,862)
591	APS - Text Terminal Session Time -PTDL's	\$15.00	21,660	6,875	(14,786)
592	APS - CSIR Terminal Session Time	\$50.00	859,850	769,133	(90,717)
TBD	TCP Copies	\$0.00	0	0	0
TBD	Published Application File Wrapper	\$0.00	0	0	0
607	Establish or Reinstate Deposit Account	\$10.00	4,030	3,590	(440)
608	Service Charge on End Bal - \$1000 Min	\$25.00	102,425	93,775	(8,650)
609	Attorney Fee - Admission to Examination	\$40.00	171,800	165,370	(6,430)
610	Attorney Fee - Registration to Practice	\$100.00	203,100	187,900	(15,200)
611	Attorney Fee - Reinstatement to Practice	\$40.00	480	280	(200)
612	Attorney Fee - Cert of Good Standing	\$10.00	1,130	1,310	180
613	Attorney Fee - Cert of Standing, Framing	\$20.00	200	320	120
615	Pet for Review of Decision by E.O.D.	\$130.00	2,730	5,720	2,990
616	Regrading an Examination - A.M.	\$230.00	15,870	30,130	14,260
617	Processing Returned Checks	\$50.00	19,850	19,750	(100)
618	Computer Records, at Cost	\$0.00	1,300,000	996,013	(303,987)
619	Registration Examination Fee	\$310.00	1,048,420	1,210,340	161,920
620	Regrading an Examination - P.M.	\$230.00	23,920	7,820	(16,100)
...	Miscellaneous Patent Fees		262,693	1,850,304	1,587,611
Subtotal, Non-Statutory Patent Service Fees		...	\$32,023,495	\$30,188,568	(\$1,834,927)
Total Non-Statutory Patent Fees			\$78,716,539	\$74,636,607	(\$4,079,932)
Total Patent Fees			\$765,816,813	\$781,168,915	\$15,352,102

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Trademark Processing Fees:					
361	Application for Registration	\$245.00	63,381,745	75,976,125	\$12,594,380
362	Amendment to Allege Use	\$100.00	776,900	808,555	31,655
363	Statement of Use	\$100.00	4,146,200	3,656,900	(489,300)
364	Extensions of Time (Intent to Use)	\$100.00	8,227,900	7,987,685	(240,215)
365	Application for Renewal	\$300.00	1,984,200	2,501,355	517,155
366	Surcharge for Late Renewal	\$100.00	79,700	91,200	11,500
367	Publication of Mark Under Sec 12c	\$100.00	2,400	3,400	1,000
368	Issuing New Certificate of Registration	\$100.00	35,400	30,400	(5,000)
369	Cert of Correction of Applicant Error	\$100.00	61,700	52,400	(9,300)
370	Filing Disclaimer to Registration	\$100.00	600	100	(500)
371	Filing Amendment to Registration	\$100.00	65,300	60,900	(4,400)
372	Filing Affidavit Under Section 8	\$100.00	469,400	518,900	49,500
373	Filing Affidavit Under Section 15	\$100.00	106,000	115,300	9,300
374	Filing Affidavit Under Section 8 & 15	\$200.00	7,335,200	6,633,300	(701,900)
375	Petitions to the Commissioner	\$100.00	183,900	183,200	(700)
376	Petition to Cancel	\$200.00	341,600	340,800	(800)
377	Notice of Opposition	\$200.00	1,201,200	1,018,100	(183,100)
378	Ex Parte Appeal to the TTAB	\$100.00	233,700	249,600	15,900
379	Divisional of Applications	\$100.00	124,800	120,200	(4,600)
Subtotal, Trademark Processing Fees		...	\$88,757,845	\$100,348,420	\$11,590,575

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Trademark Service Fees:					
461	Printed Copy of Each Registered Mark	\$3.00	4,305	5,544	\$1,239
462	Trademark Copy - Expedited Service	\$6.00	1,656	1,818	162
463	TM Copy - Expedited Service via EOS	\$25.00	1,025	875	(150)
464	TM Copy with Title or Status - Reg Serv	\$15.00	213,720	124,125	(89,595)
465	TM Copy with Title or Status - Expedited	\$30.00	483,030	265,680	(217,350)
466	Cert Copy of TM Appln as Filed - Regular	\$15.00	123,105	245,040	121,935
467	Cert Copy of TM Appln as Filed - Exped	\$30.00	571,950	381,240	(190,710)
468	Cert Copy of TM File and Contents	\$50.00	38,800	30,400	(8,400)
469	Certified Copy of Trademark Document	\$25.00	14,000	6,175	(7,825)
470	Abstracts of Title, per Registration	\$25.00	32,700	24,525	(8,175)
475	Compare Copies with Original & Certify	\$25.00	15,275	11,675	(3,600)
480	Self Service Copy Charge, per Page	\$0.25	640,619	553,426	(87,193)
481	Recording TM Assignment Documents	\$40.00	947,520	965,280	17,760
482	Recording Each Additional Mark	\$25.00	2,954,825	2,878,800	(76,025)
484	Labor Charge for Services per Hour	\$40.00	42,240	45,000	2,760
485	Unspecified Other Trademark Services	\$0.00	44,009	37,735	(6,274)
488	Terminal Charge for Automated TM Search	\$40.00	91,000	51,491	(39,509)
490	Coupons - Trademarks, Each	\$3.00	5,223	150	(5,073)
650	Recordal Application Fee	\$20.00	340	2,060	1,720
651	Renewal Application Fee	\$20.00	0	0	0
652	Late Fee for Renewal Application	\$20.00	0	0	0
Subtotal, Trademark Service Fees		...	\$6,225,342	\$5,631,038	(\$594,304)
Total Trademark Fees			\$94,983,187	\$105,979,458	\$10,996,271